

# Exams Policy

Approved by by:

Impact Committee

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### 1. Introduction and aims

Kingsdown School is committed to ensuring that exams are managed and administered effectively.

The aim of this policy is to ensure:

- The planning and management of exams is conducted in the best interest of candidates
- Our system of exams administration is efficient and clear, and staff and pupils understand what is required and expected of them
- We comply with requirements and guidance set out by the Joint Council for Qualifications (JCQ) and awarding bodies

# 2. Roles and responsibilities

### 2.1 Everyone

Everyone involved in our exam processes, including staff and pupils, must read, understand and implement this policy.

### 2.2 Head of Centre

The Head of Centre:

Has overall responsibility for the school as an exams centre

- Is responsible for ensuring that all suspected or actual incidents of malpractice are reported, in line with the JCQ guidance on <u>suspected malpractice in examinations</u> and assessments
- Our Head of Centre is Emma Leigh-Bennett.

### 2.3 Exams Officer

The Exams Officer is responsible for the administration of exams. Along with administrative support, they:

- Manage the administration of internal and external exams
- Advise the senior leadership team (SLT), subject and class tutors, and other relevant support staff on annual exams timetables and procedures as set by awarding bodies
- Oversee the production and distribution of an annual calendar for all exams in which candidates will be involved, and communicate regularly with staff about imminent deadlines and events. This calendar must be provided to all staff and candidates
- Ensure that candidates and their parents are informed of, and understand, aspects
  of the exams timetable that will affect them
- Check with teaching staff that the necessary coursework and/or controlled assessments are completed on time and in accordance with JCQ guidelines
- Provide and confirm detailed data on estimated entries
- Maintain systems and processes to support the timely entry of candidates for their exams

- Receive, check and securely store all exam papers and completed scripts, and ensure that scripts are dispatched as per the guidelines
- Administer access arrangements and make applications for special consideration following the regulations in the JCQ guidance on the special consideration process
- Identify and manage exam timetable clashes
- Account for income and expenditures relating to all exam costs/charges
- Line manage the relevant administrative staff and lead exams invigilators in organising the recruitment, induction, training, and monitoring of a team of exams invigilators responsible for the conduct of exams
- Ensure candidates' coursework/controlled assessment marks are submitted correctly and on schedule, along with any other material required by the awarding bodies
- Track, dispatch and store returned coursework/controlled assessments
- Arrange for dissemination of exam results and certificates to candidates, and forward, in consultation with the SLT, any post-results service requests
- Report all suspected or actual incidents of malpractice, in line with the JCQ guidance on suspected malpractice in examinations and assessments
- Advise on appeals and re-marks

Our Exams Officer is Gareth Coomber.

### 2.4 Heads of Department

Heads of department are responsible for:

- Advising the Exams Officer of any changes to syllabus or assessment details for their subjects
- Advising the Exams Officer of entries for their subjects
- Guidance and pastoral care for candidates who are unsure about exams entries or amendments to entries
- Accurately completing entry and mark sheets, and adhering to deadlines as set by the Exams Officer
- Accurately completing coursework/controlled assessment mark sheets and declaration sheets
- Decisions on post-results procedures

### 2.5 Teachers

Teachers are responsible for:

 Supplying information about entries, coursework and controlled assessments as required by the head of department and/or the Exams Officer

2.6 Special educational needs co-ordinator (SENDCO)

The SENDCO is responsible for:

- Identifying and testing candidates' requirements for access arrangements and notifying the Exams Officer in good time so that they can put exam day arrangements in place
- Processing any necessary applications in order to gain approval
- Working with the Exams Officer to provide the access arrangements required by candidates in exam rooms

Our SENDCO is Ruth Green.

### 2.7 Lead Invigilator(s)

The lead Invigilator(s) are responsible for:

- Assisting the Exams Officer to run exams efficiently, according to JCQ regulations
- Collecting exam papers and other material from the exams office before the start of the exam
- Collecting all exam papers in the correct order at the end of the exam and ensuring they're returned to the exams office

### 2.8 Candidates

Candidates are responsible for:

- Confirming and signing entries
- Understanding coursework/controlled assessment regulations, and signing a declaration that confirms the coursework to be their own
- Ensuring they conduct themselves in all exams according to the JCQ regulations

## 3. Qualifications offered

The Senior Leadership Team decide the qualifications we offer.

We offer the following types of qualifications: GCSE, Entry Level, VCerts, OCR Cambridge Nationals and BTEC.

The subjects offered for these qualifications in any school year may be found on the school website.

If there will be a change to a specification and or examination board for the next year, the exams office must be informed by 1<sup>st</sup> September only after the decision has been signed off by SLT.

Informing the exams office of changes to a specification is the responsibility of heads of department.

Decisions on whether a candidate should be entered for a particular subject will be taken by the head of department in consultation with SLT

### 4. Exam series

Internal exams and assessments take place throughout the school year, and are detailed on the School Calendar.

External exams and assessments are scheduled in the following exam series:

November, January and June. The timing for each examination series must be decided by the Heads of Department and signed off by SLT.

Internal exams are held under external exam conditions.

SLT decides which exam series are used in the centre.

### 5. Exam timetables

Once confirmed, the Exams Officer will circulate the exam timetables for internal and/or external exams before each series begins.

# 6. Entries (including entry details and late entries)

Candidates or parents/carers cannot request subject entry, change of level or withdrawal

We do not accept entries from private candidates.

We do not act as an exams centre for other organisations.

Entry deadlines are circulated to Heads of Department via email.

Heads of Department will provide estimated entry information to the Exams Officer to meet JCQ and awarding body deadlines.

Entries and amendments made after an awarding organisation's deadline require authorisation, in writing, by SLT. The cost of which may be requested to be met by department budgets depending on the circumstances.

6.1 Re-sits

We do not allow re-sits for any qualifications unless permissions are sought in writing to SLT.

### 7. Exam fees

Candidates or departments will not be charged for changes of tier, withdrawals made by the proper procedures or alterations arising from administrative processes, provided these are made within the time allowed by the awarding bodies.

The Exams Officer will publish the deadline for actions well in advance of each exams series.

We will not charge pupils to enter "prescribed public examinations" that we have prepared them for in school, however we reserve the right to charge the parents of candidates under the following circumstances:

- Exams or re-sits the pupil has not been prepared for at school, even if they are on the list of prescribed public examinations e.g. when a candidate is entered for an MFL qualification in their native language
- Exams not on the set list of prescribed public examinations
- A pupil, if they fail, without good reason, to complete the requirements of a public exam where the school originally paid the entry fee, e.g. when a candidate misses exams (without medical or other extenuating circumstances)
- Withdrawal from an exam (where charges would be applied by an exam board), if the parent requests withdrawal

# 8. Equalities

All our staff must ensure that they meet the requirements of any equality legislation.

We will comply with the legislation, including making reasonable adjustments to the service that we provide to candidates in accordance with the requirements defined by the legislation, awarding bodies, and JCQ. This is the responsibility of the Head of Centre.

# 9. Access Arrangements

The SENDCO will inform subject teachers of candidates with special educational needs and any special arrangements that individual candidates will need during the course and in any assessments/exams.

A candidate's access arrangements requirement is determined by the SENDCO.

Ensuring there is appropriate evidence for a candidate's access arrangement is the responsibility of the SENDCO.

Room arrangements for candidates using access arrangements will be organised by the Exams Officer.

Invigilation and support for candidates using access arrangements, as defined in the JCQ access arrangements regulations, will be organised by the Exams Officer.

# 10. Contingency planning

This plan complies with the Joint Council for Qualifications (JCQ) General Regulations for Approved Centres, which require all exam centres to have a written examination contingency plan/examinations policy.

### 10.1 Responsibilities

### 10.1.1 Head of Centre

The Head of Centre is the Headteacher. They will ensure that a written examination contingency plan/examinations policy is in place which covers all aspects of examination administration.

### 10.1.2 Staff and invigilators

Staff and invigilators involved in the centre's exam process are responsible for reading, understanding and implementing the contingency plan.

### 10.2 Monitoring arrangements

This policy will be reviewed by SLT every year in January. At every review, the policy will be shared with the governing board.

### 10.3 Contingency plan

Scenario	When to implement	Actions	Person(s) responsible
Disruption of teaching time – centre is closed for an extended period	When the centre is closed and candidates are unable to attend for an extended period during normal teaching or supported study time, interrupting the provision of normal teaching and learning	Seek advice from awarding organisations and JCQ Communicate with parents, carers and students about the potential for disruption to teaching time and plans to address this  Have a contingency plan to facilitate alternative methods of learning, alternative venues or both  Prioritise candidates who will be facing examinations shortly  Advise candidates, where appropriate, to sit examinations in the next available series	Head of Centre Assistant Headteacher Exams Officer
Candidates unable to take examinations because of a crisis – centre remains open	In the event that candidates are unable to attend examination centres to take examinations as normal e.g. sickness bug	Communicate with relevant awarding organisations at the outset to make them aware of the issue. Also communicate with parents, carers and candidates regarding solutions to the issue  Liaise with candidates to identify whether the examination can be sat at an alternative venue in agreement with relevant awarding organisations  Offer candidates an opportunity to sit any	Exams Officer

		examinations missed at the next available series  Apply to awarding organisations for special consideration for candidates where they have met the minimum requirements	
Centre is unable to open as normal during the examination period	In the event that the centre is unable to open as normal for scheduled examinations, e.g. a fire at the centre forces it to close	Inform relevant awarding organisations as soon as possible Refer to emergency plans and/or health and safety policy, where appropriate	Head of Centre Assistant Headteacher
		Open for examinations and examination candidates only, if possible Use alternative venues in agreement with relevant awarding organisations	
		Apply to awarding organisations for special consideration for candidates where they have met the minimum requirements	
		Offer candidates an opportunity to sit any examinations missed at the next available series, if possible	
Disruption in the distribution of examination papers	In the event that there is disruption to the distribution of examination papers to centres	Communicate with awarding organisations to organise alternative delivery of papers	Exams Officer

	in advance of examinations	Arrange with exam boards for alternative means of receiving papers, e.g. electronically or alternative courier	
Disruption to the transportation of completed examination scripts	In the event that there is a delay in normal collection arrangements for completed examination scripts	Seek advice from awarding organisations and their normal collection agency regarding collection Only make alternative arrangements after approval from awarding organisation and make sure papers are securely stored until collection	Exams Officer
Assessment evidence is not available to be marked	In the event of large-scale damage to, or destruction of, completed examination scripts or assessment evidence before it can be marked, e.g. a fire at the centre destroys completed examination scripts	Communicate this immediately to the relevant awarding organisation(s), candidates and their parents or carers	Exams Officer
Centre is unable to distribute results as normal	In the event that the centre is unable to access or manage the distribution of results to candidates	Contact awarding organisations about alternative options  Make arrangements to access results at an alternative site  Share facilities with other schools/colleges if possible	Head of Centre Assistant Headteacher

Evacuation of an exam venue is required during an examination

If candidates are in the process of an examination (which begins when candidates enter the exam room at the start of the exam) and an evacuation is necessary as a result of an emergency such as a fire alarm or a bomb alert.

The invigilator must take the following action

Stop the candidates from writing.

Collect the attendance register (in order to ensure all candidates are present).

Evacuate the examination room and instruct all candidates to line up on the Basketball Courts outside of F Block.

Advise candidates to leave all question papers and scripts in the examination room. Candidates must be advised to close their answer booklet.

Candidates should leave the room in silence.

Make sure that the candidates are supervised as closely as possible while they are out of the examination room to make sure there is no discussion about the examination.

Make a note of the time of the interruption and how long it lasted.

Allow the candidates the full working time set for the examination.

Make a full report of the incident and of the

Lead Invigilator Exam Invigilators

	action taken, and send to the relevant awarding body.	
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# 11. Estimated grades

Heads of Department are responsible for submitting estimated grades to the Exams Officer when requested.

# 12. Managing Invigilators

External staff will be used to invigilate examinations.

These invigilators will be used for internal exams and external exams.

All schools continue with:

Recruitment of invigilators is the responsibility of the Exams Officer.

If invigilators require Disclosure and Barring Service (DBS) checks, HR are responsible for obtaining these.

DBS fees are paid by the centre.

Invigilators' rates of pay are set by the School Business Manager.

Invigilators are recruited, timetabled, trained and briefed by the Exams Officer.

# 13. Malpractice

The Head of Centre, in consultation with the Exams Officer, is responsible for ensuring that suspected malpractice is thoroughly investigated.

# 14. Exam days

The Exams Officer will:

- Book all exam rooms (after liaising with other relevant users)
- Make question papers, exam stationery and materials available for the invigilator

Site management staff are responsible for setting up the allocated rooms, and will be advised of requirements at least 5 days in advance.

The invigilators will start and finish all exams in accordance with JCO guidelines.

Subject staff may be present at the start of the exam to assist with identification of candidates. Any staff present must be in accordance with the rules defined by JCQ concerning who is allowed in the exam room and what they can do.

In practical exams, subject teachers' availability will be in accordance with <u>JCQ</u> <u>guidelines</u>.

Exam papers must not be read by subject teachers or removed from the exam room before the end of a session. Papers will be distributed to Heads of Department in accordance with JCQ's recommendations and no later than 36 hours after candidates have completed them.

After an exam, the Exams Officer will arrange for the safe dispatch of completed exam scripts to awarding bodies, working in conjunction with invigilators.

### 15. Candidates

The Exams Officer will provide written information to candidates in advance of each exam series. A formal briefing session for candidates may be given by the Heads of Department.

Our published rules on acceptable dress and behaviour apply at all times. Candidates' personal belongings remain their own responsibility and we accept no liability for their loss or damage.

In an exam room, candidates must not have access to items other than those clearly allowed in the instructions on the question paper, the stationary list, or the specification for that subject.

This is particularly true of mobile phones, smart watches and other electronic devices with text or digital facilities.

Any precluded items must not be taken into the exam room.

Disruptive candidates are dealt with in accordance with JCQ guidelines. Candidates are expected to stay for the full exam time at the discretion of the Exams Officer.

Candidates who leave an exam room must be accompanied by an appropriate member of staff at all times.

The Exams Officer is responsible for handling late or absent candidates on exam day.

### 15.1 Clash candidates

The Exams Officer will be responsible for making arrangements for clash candidates, including:

- Supervising escorts
- Identifying a secure venue
- Arranging overnight stays where necessary

# 16. Special consideration

If a candidate is unable to attend an exam because of illness, bereavement, or other trauma, or if a candidate becomes ill or otherwise disadvantaged during an exam, they are responsible for alerting the Exams Officer or to that effect.

The candidate must support any special consideration claim with appropriate evidence within 3 days of the exam.

The Exams Officer will make a special consideration application to the relevant awarding body within 5 days of the exam.

### 17. Internal assessment

It is the duty of Heads of Department to ensure that all internal assessment is ready for dispatch at the correct time. The Exams Officer will assist by keeping a record of each dispatch, including the recipient details and the date and time sent.

Marks for internally assessed work are provided to the exams office by Heads of Department. The Exams Officer will inform staff of the deadline date for appeals against internal assessments.

Any appeals will be dealt with in accordance with our internal appeals procedure document.

### 18. Results and certificates

Candidates will receive individual results slips on results days in person at school.

The results slip will be in the form of a centre-produced document.

Arrangements for the centre to be open on results days are made by SLT.

The provision of the necessary staff on results days is the responsibility of the SLT.

Dates of results days each year will be publicised for all candidates through the school website.

### 18.1 Enquiries about results (EARs)

EARs may be requested by centre staff or the candidate following the release of results.

A request for a re-mark or clerical check requires the written consent of the candidate. A request for a re-moderation of internally assessed work may be submitted without the consent of a group of candidates.

Where the request is made by the school, the cost of EARs will be paid by the centre.

All decisions about whether to make an application for an EAR will be made by heads of department.

If a candidate's request for an EAR is not supported, the candidate may appeal and we will respond by following the process in our internal appeals procedure document.

All processing of EARs will be the responsibility of the Exams Officer, following the JCQ guidance.

### 18.2 Access to scripts (ATS)

After the release of results, candidates may ask subject staff to request the return of written exam papers within 14 days of the receipt of results.

Centre staff may also request scripts for investigation or for teaching purposes. For the latter, the consent of candidates must be obtained in writing.

Applications for EARs cannot be submitted once an original script has been returned.

The Exams Officer is responsible for processing requests for ATS.

The cost of ATS will be paid by the centre.

# 19. Monitoring and review

The Assistant Headteacher in partnership with the Exams Officer are responsible for ensuring that this policy is reviewed every year.

Signed by:

Emma Leigh-Bennett

Headteacher

Signed by:

Lynne Scragg

Chair of Governors

Date:

14th February 2022

Date:

14th February 2022

# **EXAMS RISK MANAGEMENT PROCESS**

Example risks and issues	Possible	Possible remedial action	Staff
	Forward planning	Action	
Timetabling	1010	ted sent mubination of the sent mubination of	sritte ortho
Controlled assessment schedule clashes with other activities	Plan/establish priorities well ahead (e.g. at the start of the academic year)	Plan dates in consultation with school calendar – negotiate with other parties	Responsible : Heads of Department Deputy Headteacher
Too many controlled assessments close together across GCSE subjects	Plan controlled assessments so they are spaced over the duration of the course  Controlled Assessment Calendar used to give an overview	Space controlled assessments to allow candidates sometime between them	Heads of Department Deputy Headteacher
Accommodation		Schilles in the schilles in th	Hade sent
Insufficient space in classrooms for candidates	Once the size of the cohort is known at the start of the year, flag instances where regular classroom space may not be suitable to conduct controlled assessments	Use more than one classroom or multiple sittings where necessary. Liaise with Exams Officer for any necessary Room change requirements and Special Arrangements.	Class teacher Heads of Department Deputy Headteacher
Insufficient facilities for all candidates	Careful planning ahead and booking of rooms / centre facilities		Class teacher Heads of Department Deputy Headteacher

Example risks and issues	Possible ren	Possible remedial action	Staff
	Forward planning	Action	
Downloading awarding body set tasks	PRESIDENT TO THE STATE OF THE S	The state of the s	
IT system unavailable on day of assessment	Download tasks well ahead of scheduled assessment date in all cases	Book IT equipment well ahead and download tasks before scheduled date of assessment. Confirm receipt prior to examination.	Class Teacher Heads of Department Deputy Headteacher
Teaching staff unable to access task details	Test secure access rights ahead of controlled assessment schedule every year and every session	Ensure teaching staff have access rights for the correct area of awarding body secure extranet sites well ahead of the controlled assessment schedule	Heads of Department Deputy Headteacher
Loss of task details in transmission	Download tasks well ahead of scheduled assessment date	Contact awarding body and ask for replacement task; download again	Heads of Department Deputy Headteacher
Absent candidates			
Candidates absent for all or part of assessment (various reasons)	Plan alternative session(s) for candidates	Liaise with Cover Manager regarding the planning of suitable Time, Resources and facilities.	Class Teacher Heads of Department Deputy Headteacher

Control levels for task taking			
The assessment is undertaken under incorrect level of control (time, resources, supervision and collaboration)	Ensure teaching staff know what level is applicable and understand what is involved. Provide training if required	Seek guidance from the awarding body	Heads of Department Deputy Headteacher
Example risks and issues	Possible remedial action	edial action	Staff
	Forward planning	Action	
Supervision			CAPITY HERBISES IN
Student study diary/plan not provided or completed*	Ensure teaching staff are aware of the need for study diary/plans to be completed early in course	Ensure candidates start, continue and complete study diary/plans that are signed after every session	Class teacher Heads of Department Deputy Headteacher
Teaching staff do not understand that the supervision of controlled assessments is their responsibility	Ensure teaching staff fully understand the nature of controlled assessments and their role in supervising assessments	Staff training at the start of the Academic Year within each Subject Area. Complete Training Record Document and retain on file for 12 months.	Heads of Department Deputy Headteacher
A suitable supervisor has not been arranged for an assessment where teaching staff are not supervising	A suitable supervisor must be arranged for any controlled assessment session where a teacher is not supervising, in line with the awarding body's specification	Subject Leader/Director of Learning to communicate this with the Exams Officer at the start of the academic year to allow for planning	Heads of Department Deputy Headteacher

\* Not all GCSE controlled assessments will require the completion of a study diary or study plans

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Example risks and issues	Possible remedial action	edialaction	Staff
	Forward planning	Action	
Task setting	And the service of th	The Assistance of the Control of the	
Teaching staff fail to correctly set tasks	Ensure teaching staff fully understand the task setting arrangements as defined in the awarding body's specification**	Seek guidance from the awarding body	Heads of Department Deputy Headteacher
Assessments have not been moderated in line with the awarding body's specification	Check specification and plan required moderation appropriately	Seek guidance from the awarding body	Heads of Department Deputy Headteacher
Security of materials	An eligible of the second seco	Complete St. Compl	
Assessment tasks not kept secure before assessment	Ensure teaching staff fully understand the importance of task security and that suitable facilities and checks are available and in place.	Contact the awarding body to request/obtain different assessment tasks	Class Teacher Heads of Department Deputy Headteacher
Candidates' work not kept secure during or after assessment	Define the appropriate level of security, in line with the awarding body's requirements, for each department as necessary	Seek guidance from the awarding body. Where applicable work to be kept in secure storage area within the Exams Office.	Class Teacher Heads of Department Deputy Headteacher
Insufficient or insecure storage space	Look at provision for suitable storage at the start of the GCSE course	Find alternative storage within the centre	Heads of Department Deputy Headteacher

Example risks and issues	Possible remedial action	action	Staff
	Forward planning	Action	
Deadlines	Today or blocklyches and the second	Find all emissions storage in Blistania	or the data of the passion
Deadlines not met by candidates	Ensure all candidates are briefed on deadlines and the penalties for not meeting them. Plan in suitable contingency time and cover needs with the Cover Manager to complete on time.	Mark what candidates have produced by the deadline Seek guidance from awarding body on further action	Class teacher Heads of Department Deputy Headteacher
Deadlines for marking and/or paperwork not met by teaching staff	Ensure teaching staff are given clear deadlines (prior to the awarding body deadline) to complete marking/paperwork (Marks can then be processed and submitted ahead of awarding body deadlines)	Seek guidance from awarding body. Exams Officer to track correct timescales and to liaise with Directors of Learning for compliance.	Class teacher Heads of Department Deputy Headteacher
Authentication			
Candidate fails to sign authentication form	Ensure all candidates have authentication forms to sign Ensure that the authentication form is securely attached to their work when it is completed and handed in for marking	Find candidate and ensure authentication form is signed.	Class teacher Heads of Department Deputy Headteacher
Teaching staff fail to complete authentication forms or leave before completing the authentication process	Ensure teaching staff fully understand the importance of authentication forms and the requirement of a signature	Return the authentication form to the teacher for signature. Ensure authentication forms are signed as work is marked.	Class teacher Heads of Department Deputy Headteacher

Example risks and issues	Possible remedial action	al action	Staff
	Forward planning	Action	
Marking			
Teaching staff interpret marking descriptions incorrectly	Ensure appropriate training and practising of marking Plan for sampling of marking during the practice phase	Arrange for re-marking Consult the awarding body's specification for appropriate procedures	Class teacher Heads of Department Deputy Headteacher
Centre does not run the standardisation activity as required by the awarding body	Plan against the awarding body's requirements for standardisation, i.e. when and how this activity must be conducted	Check with the awarding body whether a later standardisation event can be arranged	Heads of Department Deputy Headteacher

